



TPW

PTO/SB/21 (09-04)  
Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b>  <i>(to be used for all correspondence after initial filing)</i>		Application Number	10/711,802
		Filing Date	Oct 6, 2004
		First Named Inventor	Jacobson, Joseph M.
		Art Unit	2629
		Examiner Name	Hjerpe, Richard A
Total Number of Pages in This Submission:	21 + References	Attorney Docket Number	H-390

<b>ENCLOSURES (Check all that apply)</b>			
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance communication to (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  Please see below	
			<input type="checkbox"/> After Allowance communication to (TC)
			<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
			<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
			<input type="checkbox"/> Proprietary Information
			<input type="checkbox"/> Status Letter
			<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  Please see below
			<input type="checkbox"/> After Allowance communication to (TC)
			<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
			<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Proprietary Information			
<input type="checkbox"/> Status Letter			
<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  Please see below			
<b>Remarks</b>		<ul style="list-style-type: none"> <li>■ Search Report and Written Opinion of the International Searching Authority for Application PCT/US2004/032828</li> <li>■ References B1-B10 and C1-C30</li> </ul>	

<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>			
Firm Name			
Signature			
Printed name	David J. Cole		
Date	March 31, 2006	Reg. No.	29629

<b>CERTIFICATE OF TRANSMISSION/MAILING</b>			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Signature			
Typed or printed name	David J. Cole	Date	March 31, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*In you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Serial No.:** 10/711,802  
**Application of:** Jacobson, et al.  
**Confirmation No. :** 5801  
**Filed:** October 6, 2004  
**Group Art Unit:** 2629  
**Examiner:** Hjerpe, Richard A  
  
**Attorney Docket No.:** H-390  
**Customer No.:** 26245

Cambridge, Massachusetts  
March 30, 2006

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

Sir:

The applicant is filing this Information Disclosure Statement to make of record US and foreign patents and applications, and non-patent references. The references it is desired to make of record are listed on the accompanying Forms.

All of the references filed herewith are in the English language, or have an English language abstract, so that pursuant to 37 CFR 1.98(a)(3), no statement of the relevance of each reference is required.

The Examiner is respectfully asked to note that, for the convenience of both the Examiner and the undersigned attorney, certain prior art documents mentioned in the application have been replaced by their US equivalents or other convenient equivalents, as follows:

Document not supplied

Lippmann, M.G., Ann. Chim. Phys., 5, 494 (1875)

Alternative supplied

McNaught, A.D. and Wilkinson, A., "Lippman's Equation" in IUPAC Compendium of Chemical Terminology, 2nd edition, Blackwell Science, 1997

WO 03/071346

US20050104804

In accordance with 37 CFR 1.98(a)(2) no copies of the listed U.S. patents and applications are being filed. Copies of foreign and non-patent References B1-B10 and C1-C30 are being filed herewith. Also filed is a copy of the corresponding International Search Report and Written Opinion of the International Searching Authority, issued February 3, 2005.

Because this Information Disclosure Statement is being filed prior to the issue of the first Office Action, it is believed that no fee is required in connection with this Information Disclosure Statement. If however any fee is required, please charge the assignee's Deposit Account 501162, on which the undersigned attorney is an authorized signatory.

Respectfully submitted,



David J. Cole  
Registration No. 29629

E INK Corporation  
733 Concord Avenue  
Cambridge MA 02138

Telephone (617) 499-6069  
Fax (617) 499-6200  
E-mail [dcole@eink.com](mailto:dcole@eink.com)

DJC/grw



Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Using as many sheets as necessary)

Sheet: 1 of: 10

### Complete if Known

Application Number	10/711,802
Filing Date	October 6, 2004
First Named Inventor	Jacobson
Art Unit	2629
Examiner Name	Hjerpe, Richard A
Attorney Docket No.	H-390

### U.S. Patent Documents

Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear
	A1	D485294	01/13/2004	Albert	
	A2	20050122563	06/09/2005	Honeyman et al.	
	A3	20050104804	05/19/2005	Feenstra et al.	
	A4	20050099672	05/12/2005	Jacobson et al.	
	A5	20050067656	03/31/2005	Denis et al.	
	A6	20050062714	03/24/2005	Zehner et al.	
	A7	20050041004	02/24/2005	Gates et al.	
	A8	20050035941	02/17/2005	Albert et al.	
	A9	20050024353	02/03/2005	Amundson et al.	
	A10	20050018273	01/27/2005	Honeyman et al.	
	A11	20050017944	01/27/2005	Albert	
	A12	20050012980	01/20/2005	Wilcox et al.	
	A13	20050007653	01/13/2005	Honeyman et al.	
	A14	20050007336	01/13/2005	Albert et al.	
	A15	20050001812	01/06/2005	Amundson et al.	
	A16	20050000813	01/06/2005	Pullen et al.	
	A17	20040263947	12/30/2004	Drzaic et al.	
	A18	20040257635	12/23/2004	Paolini, Jr. et al.	
	A19	20040252360	12/16/2004	Webber et al.	
	A20	20040239614	12/02/2004	Amundson et al.	
	A21	20040226820	11/18/2004	Webber et al.	
	A22	20040196215	10/07/2004	Duthaler et al.	
	A23	20040190114	09/30/2004	Jacobson et al.	
	A24	20040180476	09/16/2004	Kazlas et al.	
	A25	20040155857	08/12/2004	Duthaler et al.	
	A26	20040136048	07/15/2004	Arango et al.	
	A27	20040119681	06/24/2004	Albert et al.	
	A28	20040112750	06/17/2004	Jacobson et al.	
	A29	20040105036	06/03/2004	Danner et al.	
	A30	20040094422	05/20/2004	Pullen et al.	

Examiner Signature		Date Considered
--------------------	--	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*1Applicant's unique citation designation number (optional). \*2Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for form 1449/PTO		Complete if Known	
		Application Number	10/711,802
		Filing Date	October 6, 2004
		First Named Inventor	Jacobson
		Art Unit	2629
		Examiner Name	Hjerpe, Richard A
Sheet: 2 of 10		Attorney Docket No. H-390	

U.S. Patent Documents					
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear
	A31	20040075634	04/22/2004	Gates	
	A32	20040027327	02/12/2004	LeCain et al.	
	A33	20040014265	01/22/2004	Kazlas et al.	
	A34	20030222315	12/04/2003	Amundson et al.	
	A35	20030151702	08/14/2003	Morrison et al.	
	A36	20030137521	07/24/2003	Zehner et al.	
	A37	20030132908	07/17/2003	Herb et al.	
	A38	20030102858	06/05/2003	Jacobson et al.	
	A39	20030011560	01/16/2003	Albert et al.	
	A40	20020185378		Honeyman et al.	
	A41	20020180687	12/05/2002	Webber	
	A42	20020130832	09/19/2002	Baucom et al.	
	A43	20020113770	08/22/2002	Jacobson et al.	
	A44	20020090980	07/11/2002	Wilcox et al.	
	A45	20020063661	05/30/2002	Comiskey et al.	
	A46	20020060321	05/23/2002	Kazlas et al.	
	A47	6967640	11/22/2005	Albert et al.	
	A48	6958848	10/25/2005	Cao et al.	
	A49	6950220	09/27/2005	Abramson et al.	
	A50	6922276	07/26/2005	Zhang et al.	
	A51	6906851	06/14/2005	Yuasa	
	A52	6900851	05/31/2005	Morrison et al.	
	A53	6870661	03/22/2005	Pullen et al.	
	A54	6870657	03/22/2005	Fitzmaurice et al.	
	A55	6866760	03/15/2005	Paolini, Jr. et al.	
	A56	6865010	03/08/2005	Duthaler et al.	
	A57	6864875	03/08/2005	Drzaic et al.	
	A58	6842657	01/11/2005	Drzaic et al.	
	A59	6842279	01/11/2005	Amundson	
	A60	6842167	01/11/2005	Albert et al.	

Examiner Signature		Date Considered
--------------------	--	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*1Applicant's unique citation designation number (optional). \*2Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for form 1449/PTO			Complete if Known	
			Application Number	10/711,802
			Filing Date	October 6, 2004
			First Named Inventor	Jacobson
			Art Unit	2629
			Examiner Name	Hjerpe, Richard A
Sheet: 3 of 10			Attorney Docket No.	H-390

U.S. Patent Documents					
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear
	A61	6839158	01/04/2005	Albert et al.	
	A62	6831769	12/14/2004	Holman et al.	
	A63	6825970	11/30/2004	Goenaga et al.	
	A64	6825829	11/30/2004	Albert et al.	
	A65	6825068	11/30/2004	Denis et al.	
	A66	6822782	11/23/2004	Honeyman et al.	
	A67	6819471	11/16/2004	Amundson et al.	
	A68	6816147	11/09/2004	Albert	
	A69	6788449	09/07/2004	Liang et al.	
	A70	6753999	06/22/2004	Zehner et al.	
	A71	6750473	06/15/2004	Amundson et al.	
	A72	6738050	05/18/2004	Comiskey et al.	
	A73	6727881	04/27/2004	Albert et al.	
	A74	6724519	04/20/2004	Comiskey et al.	
	A75	6721083	04/13/2004	Jacobson et al.	
	A76	6710540	03/23/2004	Albert et al.	
	A77	6704133	03/09/2004	Gates et al.	
	A78	6693620	02/17/2004	Herb et al.	
	A79	6683333	01/27/2004	Kazlas et al.	
	A80	6680725	01/20/2004	Jacobson	
	A81	6672921	01/06/2004	Liang et al.	
	A82	6664944	12/16/2003	Albert et al.	
	A83	6657772	12/02/2003	Loxley	
	A84	6652075	11/25/2003	Jacobson	
	A85	6639578	10/28/2003	Comiskey et al.	
	A86	6603444	08/05/2003	Kawanami et al.	
	A87	6580545	06/17/2003	Morrison et al.	
	A88	6545291	04/08/2003	Amundson et al.	
	A89	6538801	03/25/2003	Jacobson et al.	
	A90	6535197	03/18/2003	Comiskey et al.	

Examiner Signature		Date Considered
--------------------	--	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*1Applicant's unique citation designation number (optional). \*2Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for form 1449/PTO		Complete if Known	
		Application Number	10/711,802
		Filing Date	October 6, 2004
		First Named Inventor	Jacobson
		Art Unit	2629
		Examiner Name	Hjerpe, Richard A
Sheet: 4 of 10		Attorney Docket No.	H-390

U.S. Patent Documents					
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear
	A91	6531997	03/11/2003	Gates et al.	
	A92	6521489	02/18/2003	Duthaler et al.	
	A93	6518949	02/11/2003	Drzaic	
	A94	6515649	02/04/2003	Albert et al.	
	A95	6512354	01/28/2003	Jacobson et al.	
	A96	6506438	01/14/2003	Duthaler et al.	
	A97	6504524	01/07/2003	Gates et al.	
	A98	6498114	12/24/2002	Amundson et al.	
	A99	6480182	11/12/2002	Turner et al.	
	A100	6473072	10/29/2002	Comiskey et al.	
	A101	6459418	10/01/2002	Comiskey et al.	
	A102	6449081	09/10/2002	Onuki et al.	
	A103	6445489	09/03/2002	Jacobson et al.	
	A104	6445374	09/03/2002	Albert et al.	
	A105	6422687	07/23/2002	Jacobson	
	A106	6413790	07/02/2002	Duthaler et al.	
	A107	6392786	05/21/2002	Albert	
	A108	6392785	05/21/2002	Albert et al.	
	A109	6377387	04/23/2002	Duthaler et al.	
	A110	6376828	04/23/2002	Comiskey	
	A111	6327072	12/04/2001	Comiskey et al.	
	A112	6323989	11/27/2001	Jacobson et al.	
	A113	6312971	11/06/2001	Amundson et al.	
	A114	6312304	11/06/2001	Duthaler et al.	
	A115	6301038	10/09/2001	Fitzmaurice et al.	
	A116	6300932	10/09/2001	Albert	
	A117	6271823	08/07/2001	Gordon , II et al.	
	A118	6262833	07/17/2001	Loxley et al.	
	A119	6262706	07/17/2001	Albert et al.	
	A120	6252564	06/26/2001	Albert et al.	

Examiner Signature		Date Considered
--------------------	--	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*1Applicant's unique citation designation number (optional). \*2Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for form 1449/PTO		Complete if Known	
		Application Number	10/711,802
		Filing Date	October 6, 2004
		First Named Inventor	Jacobson
		Art Unit	2629
		Examiner Name	Hjerpe, Richard A
Sheet: 5 of 10		Attorney Docket No.	H-390

U.S. Patent Documents					
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear
	A121	6249271	06/19/2001	Albert et al.	
	A122	6241921	06/05/2001	Jacobson et al.	
	A123	6232950	05/15/2001	Albert et al.	
	A124	6225971	05/01/2001	Gordon , II et al.	
	A125	6184856	02/06/2001	Gordon , II et al.	
	A126	6177921	01/23/2001	Comiskey et al.	
	A127	6172798	01/09/2001	Albert et al.	
	A128	6147791	11/14/2000	Sheridon	
	A129	6144361	11/07/2000	Gordon , II et al.	
	A130	6137467	10/24/2000	Sheridon et al.	
	A131	6130774	10/10/2000	Albert et al.	
	A132	6130773	10/10/2000	Jacobson et al.	
	A133	6128124	10/03/2000	Silverman	
	A134	6124851	09/26/2000	Jacobson	
	A135	6120839	09/19/2000	Comiskey et al.	
	A136	6120588	09/19/2000	Jacobson	
	A137	6118426	09/12/2000	Albert et al.	
	A138	6097531	08/01/2000	Sheridon	
	A139	6067185	05/23/2000	Albert et al.	
	A140	6055091	04/25/2000	Sheridon et al.	
	A141	6054071	04/25/2000	Mikkelsen, Jr.	
	A142	6017584	01/25/2000	Albert et al.	
	A143	5961804	10/05/1999	Jacobson et al.	
	A144	5956005	9/21/99	Sheridon	
	A145	5930026	07/27/1999	Jacobson et al.	
	A146	5872552	02/16/1999	Gordon , II et al.	
	A147	5808783	09/15/1998	Crowley	
	A148	5808593	09/15/1998	Sheridon	
	A149	5777782	07/07/1998	Sheridon	
	A150	5760761	06/02/1998	Sheridon	

Examiner Signature	Date Considered
--------------------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*1Applicant's unique citation designation number (optional). \*2Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for form 1449/PTO		Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Using as many sheets as necessary)		Application Number	10/711,802
		Filing Date	October 6, 2004
		First Named Inventor	Jacobson
		Art Unit	2629
		Examiner Name	Hjerpe, Richard A
Sheet:	6	of	10
		Attorney Docket No.	H-390

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Using as many sheets as necessary)

Sheet: 6 of 10

## U.S. Patent Documents

Examiner Signature		Date Considered	
-----------------------	--	-----------------	--

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*1Applicant's unique citation designation number (optional). \*2Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.

application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.  
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for form 1449/PTO		Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Using as many sheets as necessary)		Application Number	10/711,802
		Filing Date	October 6, 2004
		First Named Inventor	Jacobson
		Art Unit	2629
		Examiner Name	Hjerpe, Richard A
Sheet:	7	of:	10
		Attorney Docket No.	H-390

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Using as many sheets as necessary)

Sheet: 7 of: 10

## Foreign Patent Documents

Examiner Signature		Date Considered	
-----------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*1Applicant's unique citation designation number (optional). \*2Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application.

Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.

Substitute for form 1449/PTO		Complete if Known	
		Application Number	10/711,802
		Filing Date	October 6, 2004
		First Named Inventor	Jacobson
		Art Unit	2629
		Examiner Name	Hjerpe, Richard A
Sheet: 8 of 10		Attorney Docket No.	H-390

NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. *1	Document Citation	T <sup>2</sup>
	C1	Amundson, K., et al., "Flexible, Active-Matrix Display Constructed Using a Microencapsulated Electrophoretic Material and an Organic-Semiconductor-Based Backplane", SID 01 Digest, 160 (June 2001)	
	C2	Au, J. et al., "Ultra-Thin 3.1-in. Active-Matrix Electronic Ink Display for Mobile Devices", IDW'02, 223 (2002)	
	C3	Bach, U., et al., "Nanomaterials-Based Electrochromics for Paper-Quality Displays", Adv. Mater, 14(11), 845 (2002)	
	C4	Bouchard, A. et al., "High-Resolution Microencapsulated Electrophoretic Display on Silicon", SID 04 Digest, 651 (2004)	
	C5	Caillot, E. et al. "Active Matrix Electrophoretic Information Display for High Performance Mobile Devices", IDMC Proceedings (2003)	
	C6	Chen, Y., et al., "A Conformable Electronic Ink Display using a Foil-Based a-Si TFT Array", SID 01 Digest, 157 (June 2001)	
	C7	Comiskey, B., et al., "An electrophoretic ink for all-printed reflective electronic displays", Nature, 394, 253 (1998)	
	C8	Comiskey, B., et al., "Electrophoretic Ink: A Printable Display Material", SID 97 Digest (1997), page 75	
	C9	Danner, G.M. et al., "Reliability Performance for Microencapsulated Electrophoretic Displays with Simulated Active Matrix Drive", SID 03 Digest, 573 (2003)	
	C10	Drzaic, P., et al., "A Printed and Rollable Bistable Electronic Display", SID 98 Digest (1998), page 1131	

Examiner Signature		Date Considered
--------------------	--	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*1Applicant's unique citation designation number (optional). \*2Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application.

Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for form 1449/PTO		Complete if Known	
		Application Number	10711,802
		Filing Date	October 6, 2004
		First Named Inventor	Jacobson
		Art Unit	2629
		Examiner Name	Hjerpe, Richard A
Sheet: 9 of: 10		Attorney Docket No.	H-390

NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. *1	Document Citation	T*2
	C11	Duthaler, G., et al., "Active-Matrix Color Displays Using Electrophoretic Ink and Color Filters", SID 02 Digest, 1374 (2002)	
	C12	Hayes, R.A., et al., "Video-Speed Electronic Paper Based on Electrowetting", Nature, Vol. 425, 25 September, pages 383-385 (2003)	
	C13	Henzen, A. et al., "An Electronic Ink Low Latency Drawing Tablet", SID 04 Digest, 1070 (2004)	
	C14	Henzen, A. et al., "Development of Active Matrix Electronic Ink Displays for Handheld Devices", SID 03 Digest, 176, (2003)	
	C15	Henzen, A. et al., "Development of Active Matrix Electronic Ink Displays for Smart Handheld Applications", IDW'02, 227 (2002)	
	C16	Jacobson, J., et al., "The last book", IBM Systems J., 36, 457 (1997)	
	C17	Jo, G-R, et al., "Toner Display Based on Particle Movements", Chem. Mater, 14, 664 (2002)	
	C18	Kazlas, P. et al., "Card-size Active-matrix Electronic Ink Display", Eurodisplay 2002, 259 (2002)	
	C19	Kazlas, P., et al., "12.1" SVGA Microencapsulated Electrophoretic Active Matrix Display for Information Applications", SID 01 Digest, 152 (June 2001)	
	C20	Kitamura, T., et al., "Electrical toner movement for electronic paper-like display", Asia Display/IDW '01, page 1517, Paper HCS1-1 (2001)	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*1Applicant's unique citation designation number (optional). \*2Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application.

Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for form 1449/PTO		Complete if Known	
		Application Number	10/711,802
		Filing Date	October 6, 2004
		First Named Inventor	Jacobson
		Art Unit	2629
		Examiner Name	Hjerpe, Richard A
Sheet: 10 of: 10		Attorney Docket No.	H-390

### NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. *1	Document Citation	T <sup>2</sup>
	C21	McNaught, A.D. and Wilkinson, A., "Lippman's Equation" in IUPAC Compendium of Chemical Terminology, 2nd edition, Blackwell Science, 1997	
	C22	Moon, H., et al., "Low Voltage Electrowetting-on-Dielectric", Journal of Applied Physics, October 1, 2002, Vol. 92, No. 7 (2002)	
	C23	O'Regan, B. et al., "A Low Cost, High-efficiency Solar Cell Based on Dye-sensitized colloidal TiO <sub>2</sub> Films", Nature, Volume 353, October 24, 1991, 773-740	
	C24	Pitt, M.G., et al., "Power Consumption of Microencapsulated Electrophoretic Displays for Smart Handheld Applications", SID 02 Digest, 1378 (2002)	
	C25	Sheridan, N.K., "Electrocapillary Imaging Devises for Display and Data Storage", Xerox Disclosure Journal, Vol. 4, No. 3, pp 385-386 (1979)	
	C26	Webber, R., "Image Stability in Active-Matrix Microencapsulated Electrophoretic Displays", SID 02 Digest, 126 (2002)	
	C27	Whitesides, T. et al., "Towards Video-rate Microencapsulated Dual-Particle Electrophoretic Displays", SID 04 Digest, 133 (2004)	
	C28	Wood, D., "An Electrochromic Renaissance?" Information Display, 18(3), 24 (March 2002)	
	C29	Yamaguchi, Y., et al., "Toner display using insulative particles charged triboelectrically", Asia Display/IDW '01, page 1729, Paper AMD4-4 (2001)	
	C30	Zehner, R. et al., "Drive Waveforms for Active Matrix Electrophoretic Displays", SID 03 Digest, 842 (2003)	

Examiner Signature		Date Considered
--------------------	--	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*1Applicant's unique citation designation number (optional). \*2Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application.

Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.